

Chichester District Council
CORPORATE GOVERNANCE & AUDIT COMMITTEE

18th July 2022

Progress Report – Audit Plan for 2021/22 and for 2022/23

1. Contacts

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2. Recommendation

- 2.1 That the committee notes performance against the audit plans for 2021/22 and 2022/23.

3. Update on 2021/22 Audit Plan

- 3.1. The CCS Management of Vehicle Spares audit has been moved into the 2022/23 audit plan due to a staff vacancy in the Internal Audit team.
- 3.2. As at 22 June 2022, the 2021/22 audit plan has been completed.
- 3.3. The audit reports issued as final since the last committee meeting are:
- Housing Benefits (covering Council Tax Reduction)
 - Monitoring of S106 obligations & CIL liabilities across CDC
 - Contract Management
 - Dog Control
 - HR New Shortlisting and Interview Assessments Process
 - Succession Planning (position statement)
- 3.4. Results of the audits are contained in appendix one. There have been no audits given a 'No Assurance' rating and no critical exceptions have been raised.

4. Update on 2022/23 Audit Plan

- 4.1. Staffing issues: Since the reporting deadline for the meeting of the Corporate Governance & Audit Committee on 14 March 2022, the Senior Auditor has resigned her position with the Council. Her position has not yet been filled. It may be that the original Audit Plan will need to be adjusted. I will report any

changes to the Plan to the Corporate Governance & Audit Committee meeting on 31 October 2022.

- 4.2. The audit plan noted by committee on 14 March 2022 comprises 23 full audits and 3 follow-ups. There is contingency time in the plan if any in-year follow-ups need to be carried out where the exceptions raised are significantly concerning, and require addressing by the client as a matter of urgency.
- 4.3. Two audits Moving In/Out of Westward House Processes which has been added to the 2022/23 plan and the delayed CCS Management of Vehicle Spares will be taken out of the contingency allowance in the audit plan.
- 4.4. There are therefore currently 25 full audits in the plan and 3 follow-ups.
- 4.5. As at 22 June 2022, no audit reports have been issued as final (0%), 6 audits are a work in progress (21%).

5. Background

- 5.1. Not Applicable

6. Outcomes to be Achieved

- 6.1. Not Applicable

7. Proposal

- 7.1. Not Applicable

8. Alternatives Considered

- 8.1. Not Applicable

9. Resource and Legal Implications

- 9.1. Not Applicable

10. Consultation

- 10.1. Not Applicable

11. Community Impact and Corporate Risks

- 11.1. Not Applicable

12. Other Implications

<i>Are there any implications for the following?</i>		
	Yes	No
Crime & Disorder:		√
Climate Change and Biodiversity:		√
Human Rights and Equality Impact:		√
Safeguarding and Early Help:		√
General Data Protection Regulations (GDPR):		√
Health and Wellbeing:		√
Other (Please specify):		√

13. Appendices

13.1. Audits completed since the last committee report.

13.2. Succession Planning position statement.

14. Background Papers

14.1 None